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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/840,208         | 05/05/2004             | Soonkap Hahn          | 81665/6776             |

22242  
 FITCH EVEN TABIN AND FLANNERY  
 120 SOUTH LA SALLE STREET  
 SUITE 1600  
 CHICAGO, IL 60603-3406

CONFIRMATION NO. 7906

## FORMALITIES LETTER



\*OC000000013145168\*

Date Mailed: 07/06/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/09/2004 MMEKDNEN 00000001 061135 10840208

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 DA  
 02 FC:2202 9.00 DA  
 03 FC:2051 65.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$9 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

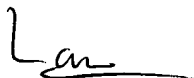
Total additional fee(s) required for this application is **\$459** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$9**
  - **\$9** for 1 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
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P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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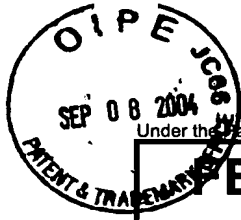
|   |                      |                        |            |
|---|----------------------|------------------------|------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/840,208             |            |
|   | Filing Date          | May 5, 2004            |            |
|   | First Named Inventor | Zhiyi Xie et al.       |            |
|   | Art Unit             | 1645                   |            |
|   | Examiner Name        | TBD                    |            |
| Total Number of Pages in This Submission  | 21                   | Attorney Docket Number | 81665/6776 |

| ENCLOSURES (check all that apply)   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to Group   |
| <input checked="" type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences   |
| <input type="checkbox"/> Amendment / Reply  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                                      | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                                      | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):   |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Request for Refund   | 1) Sequence Listing; 2) Disk of Computer Readable Sequence Listing; 3) Request for Corrected Official Filing Receipt; 4) Copy of Official Filing Receipt; 5) Copy of Notice to File Missing Parts; and 6) Return Receipt Postcard |
| <input type="checkbox"/> Information Disclosure Statement                               | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | <b>REMARKS:</b>   |   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application              |   |   |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                    |
|--|------------------------------------|
| Individual name                            | Scott J. Menghini, Reg. No. 42,880 |
| Signature                                  |                                    |
| Date                                       | September 3, 2004                  |

| CERTIFICATE OF TRANSMISSION/MAILING  |                   |      |                   |
|--|-------------------|------|-------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 the date shown below. |                   |      |                   |
|  |                   |      | September 3, 2004 |
| Typed or printed name  | Scott J. Menghini |      |                   |
| Signature  |                   | Date | September 3, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Approved for use through 07/31/2006. PTO/SB/17 (10-03) OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| FEE TRANSMITTAL<br>for FY 2004  |  | Complete if Known    |                  |
|---|--|----------------------|------------------|
| Effective 10/01/2003. Patent fees are subject to annual revision.<br>First Class Mail |  | Application Number   | 10/840,208       |
|   |  | Filing Date          | May 5, 2004      |
|   |  | First Named Inventor | Zhiyi Xie et al. |
|   |  | Examiner Name        | TBD              |
|   |  | Art Unit             | 1645             |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR  |  | Attorney Docket No.  | 81665/6776       |
| TOTAL AMOUNT OF PAYMENT   |  | (\$ ) 459.00         |                  |

| METHOD OF PAYMENT (check all that apply)  |  | FEE CALCULATION (continued)  |  |
|---|--|--|--|
| <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None |  | <b>3. ADDITIONAL FEES</b>  |  |
| <input checked="" type="checkbox"/> Deposit Account   |  | Large Entity Small Entity  |  |
| Deposit Account Number: 06-1135   |  | Fee Code Fee (\$)  |  |
| Deposit Account Name: Fitch, Even, Tabin & Flannery   |  | Fee Description Fee Paid   |  |
| The Director is authorized to: (check all that apply)   |  | 1051 130 2051 65 Surcharge - late filing fee or oath 65.00                                 |  |
| <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments   |  | 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet                     |  |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s)  |  | 1053 130 1053 130 Non-English specification  |  |
| <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.  |  | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination                      |  |
| <b>FEE CALCULATION</b>  |  | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action                 |  |
| <b>1. BASIC FILING FEE</b>  |  | 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action                |  |
| Large Entity Small Entity Fee Description Fee Paid  |  | 1251 110 2251 55 Extension for reply within first month                                    |  |
| 1001 770 2001 385 Utility filing fee 385.00   |  | 1252 420 2252 210 Extension for reply within second month                                  |  |
| 1002 340 2002 170 Design filing fee   |  | 1253 950 2253 475 Extension for reply within third month                                   |  |
| 1003 530 2003 265 Plant filing fee  |  | 1254 1,480 2254 740 Extension for reply within fourth month                                |  |
| 1004 770 2004 385 Reissue filing fee  |  | 1255 2,010 2255 1,005 Extension for reply within fifth month                               |  |
| 1005 160 2005 80 Provisional filing fee   |  | 1401 330 2401 165 Notice of Appeal   |  |
| SUBTOTAL (1) (\$ ) 385.00   |  | 1402 330 2402 165 Filing a brief in support of an appeal                                   |  |
| <b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b>  |  | 1403 290 2403 145 Request for oral hearing   |  |
| Total Claims 21 -20**= 1 X Fee from below 9.00 = 9.00   |  | 1451 1,510 1451 1,510 Petition to institute a public use proceeding                        |  |
| Independent Claims -3**= X =  |  | 1452 110 2452 55 Petition to revive - unavoidable  |  |
| Multiple Dependent X =  |  | 1453 1,330 2453 665 Petition to revive - unintentional                                     |  |
| Large Entity Small Entity Fee Description Fee Paid  |  | 1501 1,330 2501 665 Utility issue fee (or reissue)   |  |
| 1202 18 2202 9 Claims in excess of 20   |  | 1502 480 2502 240 Design issue fee   |  |
| 1201 86 2201 43 Independent claims in excess of 3   |  | 1503 640 2503 320 Plant issue fee  |  |
| 1203 290 2203 145 Multiple dependent claim, if not paid   |  | 1460 130 1460 130 Petitions to the Commissioner  |  |
| 1204 86 2204 43 **Reissue independent claims over original patent   |  | 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent   |  | 1806 180 1806 180 Submission of Information Disclosure Stmt                                |  |
| SUBTOTAL (2) (\$ ) 9.00   |  | 8021 40 8021 40 Recording each patent assignment per property (times number of properties) |  |
| **or number previously paid, if greater; For Reissues, see above  |  | 1809 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))            |  |
|   |  | 1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b))         |  |
|   |  | 1801 770 2801 385 Request for Continued Examination (RCE)                                  |  |
|   |  | 1802 900 1802 900 Request for expedited examination of a design application                |  |
|   |  | Other fee (specify) _____  |  |
|   |  | SUBTOTAL (3) (\$ ) 65.00   |  |

| SUBMITTED BY      |                   | Complete (if applicable)          |                   |
|-------------------|-------------------|-----------------------------------|-------------------|
| Name (Print/Type) | Scott J. Menghini | Registration No. (Attorney/Agent) | 42,880            |
| Signature         |                   | Telephone                         | 858-552-1311      |
|                   |                   | Date                              | September 3, 2004 |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.**

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Attorney Docket No. 81665/6776

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Zhiyi Xie et al.

Application No.: 10/840,208

Filed: May 5, 2004

Title: DETECTION OF CHROMOSOMAL  
DISORDERS

Art Unit: 1645

Confirmation No.: 7906

Customer No.: 22242

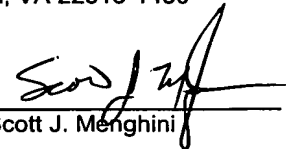
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on this date:

09/03/2004  
Date

  
Scott J. Menghini

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 C.F.R. 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

- ( X ) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.
- ( X ) Statutory basic filing fee ( X ) Utility ( ) Design
- ( X ) Additional claim fees of \$ 9.00
- ( X ) Missing Parts Surcharge \$ 65.00
- ( X ) Sequence Listing and Computer Readable Sequence Listing

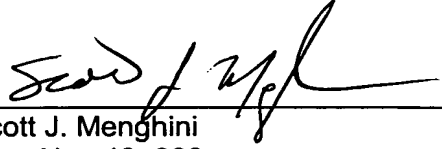
Date: September 3, 2004

Respectfully submitted,

Address all correspondence to:

FITCH, EVEN, TABIN & FLANNERY  
120 S. La Salle Street, Suite 1600  
Chicago, Illinois 60603-3406  
Phone: (858) 552-1311  
Fax: (858) 552-0095

By:

  
Scott J. Menghini  
Reg. No. 42, 880